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CONFIRMATION NO. 9652

Bib Data Sheet

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|-----------------------------|-----------------------------------|--------------|--|-------------------------------------|
| SERIAL NUMBER 09/758,967 | FILING DATE 01/11/2001 RULE | CLASS 705 | GROUP ART UNIT <i>2164 3628</i> | ATTORNEY DOCKET NO. 09857-054001 |
|-----------------------------|-----------------------------------|--------------|--|-------------------------------------|

APPLICANTS

Steven M. Bloom, Springfield, NJ;
 Michael S. Spector, Washington, DC;
 John L. Jacobs, Columbia, MD;

** CONTINUING DATA *None m*** FOREIGN APPLICATIONS *None m*

IF REQUIRED, FOREIGN FILING LICENSE

GRANTED ** 02/21/2001

| | | | | | |
|---------------------------------|---|------------------------|---------------------|--------------------|-------------------------|
| Foreign Priority claimed | <input type="checkbox"/> yes <input checked="" type="checkbox"/> no | STATE OR COUNTRY NJ | SHEETS DRAWING 3 | TOTAL CLAIMS 23 | INDEPENDENT CLAIMS 5 |
| 35 USC 119 (a-d) conditions met | <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance | | | | |
| Verified and Acknowledged | <i>MN</i> | Examiner's Signature | Initials | | |

ADDRESS

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TITLE

Arbitrage of tracking securities

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|-----------------------------|---|---|
| FILING FEE RECEIVED 1054 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit |
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